



J1130 U.S. PTO

12/10/01

A

Patent
Attorney Docket: 263/092JC955 U.S. PTO
12/06/01To: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:

Transmitted herewith for filing is a utility patent application:

Inventor(s): Charles E. Prussak, Thomas J. Kipps and Mark J. Cantwell,

Title: NOVEL CHIMERIC TNF LIGANDS

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

- 37 Page(s) of Written Description
10 Page(s) Claims
1 Page(s) Abstract
11 Sheets of Drawings Informal Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- Declaration
 Power of Attorney Separate Combined with Declaration
 Assignment to _____ and assignment cover sheet
 Certified Copy of Priority Document No(s): _____
 Information Disclosure Statement w/PTO 1449 Copy of Citations
 Preliminary Amendment
 Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
 Return Postcard
 Other: _____

III. PRIORITY

- Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. § 119.

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

EL356083605US
Express Mail Label No.

December 06, 2001
Date of Deposit

Linda Johnson
Name of Person Mailing Paper

Signature of Person Mailing Paper

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE:						\$740.00
Total Claims	78	-	20	=	58	x \$18.00 \$1,044.00
Independent Claims	11	-	3	=	8	x \$84.00 \$672.00
Multiple Dependent Claims	\$280	(if applicable)			<input checked="" type="checkbox"/>	\$280.00
TOTAL OF ABOVE CALCULATIONS						\$2,736.00
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.					<input checked="" type="checkbox"/>	\$1368.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$0.00
TOTAL FEES DUE HEREWITH						\$1,368.00

V. METHOD OF PAYMENT OF FEES

- A check in the amount of _____.
 Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$1,368.00.
 This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- 37 CFR § 1.16 – (Filing fees and excess claims fees)
 37 CFR § 1.17 – (Any application processing fees)
 37 CFR § 1.21 – (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

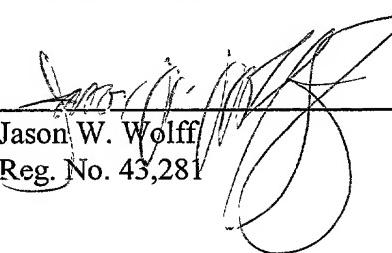
PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

Please direct all inquiries to Bernard F. Rose, at the above customer number and direct all telephone calls to Bernard F. Rose at (408) 993-1555.

Respectfully submitted,

LYON & LYON LLP

By: 
Jason W. Wolff
Reg. No. 43,281

Dated: December 6, 2001

Patent
Attorney Docket: 263/092

PTO/SB/35 (11-00)

Approved for use through 10/31/2002, OMB 0651-0031

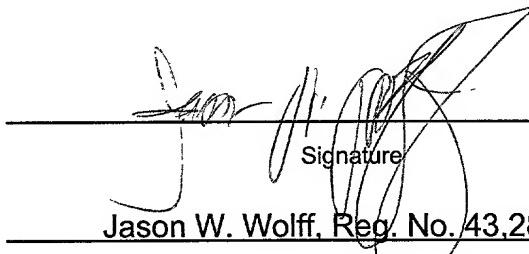
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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)		First Named Inventor	Mark J. Cantwell et al.
		Title	Novel Chimeric TNF Ligands
		Atty Docket Number	263/092

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date



Signature

Jason W. Wolff, Reg. No. 43,281

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231